



32692

Customer Number

Patent  
Case No.: 56352US002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CARPENTER, BARRY S.  
Application No.: 09/911950 Group Art Unit: 2874  
Filed: July 24, 2001 Examiner: Scott A. Knauss  
Title: PACKAGED OPTICAL MICRO-MECHANICAL DEVICE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Jan 29, 2004  
Date

Angie Zontelli  
Signed by: Angie Zontelli

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

Respectfully submitted,

Jan. 29, 2004  
Date

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

Application Number

09/911950

Filing Date

July 24, 2001

First Named Inventor

Carpenter, Barry S.

Art Unit

2874

Examiner Name

Scott A. Knauss

Attorney Case Number

56352US002

**U.S. Patent Documents**

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2002/0181838 A1	12-05-2002	Cunningham et al.	
	A2	US- 2002/0164113 A1	11-07-2002	Rensing et al.	
	A3	US- 2002/0164123 A1	11-07-2002	Hamerly et al.	
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

**Foreign Patent Documents**

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	WO	02/37161 A2	05-10-2002	Weaver et al.		
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	
	C2	
	C3	

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)